



14705

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification:

G01H 9/00; A61B 1/22

A1

(11) International Publication Number: WO 79/00841

(43) International Publication Date: 18 October 1979 (18.10.79)

(21) International Application Number: PCT/GB79/00043

(22) International Filing Date: 9 March 1979 (09.03.79)

(31) Priority Application Number: 09398/78

(32) Priority Date: 9 March 1978 (09.03.78)

(33) Priority Country: GB

(71) Applicants: NATIONAL RESEARCH DEVELOPMENT CORPORATION; P.O. Box 236, Kingsgate House, 66-74 Victoria Street, London, United Kingdom SW1 E6SL (for all designated States except US). ANSON, Michael; 1 Froggnal Court, Finchley Road, London, United Kingdom NW3 (for US only). CHUNG, Shin-Ho; 36 Lady Margaret Road, London, United Kingdom NW5 (for US only). PETTIGREW, Alan, George; 11 Mirrabooka Avenue, Homebush, New South Wales, Australia 2140 (for US only).

(72) Inventors: ANSON, Michael; 1 Froggnal Court, Finchley Road, London, United Kingdom NW3. CHUNG, Shin-Ho, 36 Lady Margaret Road, London, United Kingdom NW5. PETTIGREW, Alan, George; 11 Mirrabooka Avenue, Homebush, New South Wales, Australia 2140.

(74) Agent: PARKER, Geoffrey; National Research Development Corporation, Patent Department, P.O. Box 236, Kingsgate House, 66-74 Victoria Street, London, United Kingdom SW1 E6SL.

(81) Designated States: DE (European patent), FR (European patent), GB, GB (European patent), JP, US.

(82) Elected States: DE (European patent), GB, GB (European patent), JP.

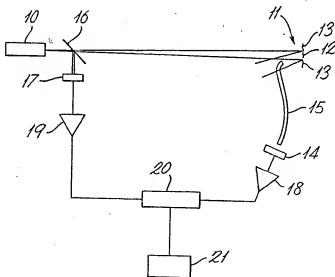
Published with:

International search report

(54) Title: SPECKLE INTERFEROMETRIC MEASUREMENT OF SMALL OSCILLATORY MOVEMENTS

(57) Abstract

Measurement of small oscillatory movements of an irregular surface (12) involves the production of a speckle pattern therefrom by coherent light illumination, and the arrangement of a photodetector (14) for direct response to such pattern, variations in photodetector output component at the frequency of the surface movement representing that movement. Another, stationary, illuminated irregular surface (13) can be involved to produce a speckle interference pattern for response of the photodetector (14) thereto and, in the case where the two surfaces (12, 13) are closely adjacent, a single beam can be used to illuminate the first and other surfaces predominantly and by stray light, respectively. This common beam illumination can be used in prior speckle interferometry. The first surface (12) can be an eardrum oscillated by a sound wave, suitably of swept frequency or impulse form, with detection of the photodetector variations respectively being in synchronous manner or by Fourier analysis, respectively.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT

AT	Austria	LU	Luxembourg
BR	Brazil	MC	Monaco
CF	Central African Empire	MG	Madagascar
CG	Congo	MW	Malawi
CH	Switzerland	NL	Netherlands
CM	Cameroon	RO	Roumania
DE	Germany, Federal Republic of	SE	Sweden
DK	Denmark	SN	Senegal
FR	France	SU	Soviet Union
GA	Gabon	TD	Chad
GB	United Kingdom	TG	Togo
JP	Japan	US	United States of America

SPECKLE INTERFEROMETRIC MEASUREMENT OF SMALL OSCILLATORY MOVEMENTS

This invention concerns the measurement of small movements and more particularly the measurement of movements, typically vibrations, having magnitudes less than the wavelength of light.

Interferometry is a well-established technique for making
05 such measurements and a more recently developed technique of this kind is that known as laser speckle interferometry. This last technique derives from the finding that the scattering and reflexion of coherent light from an irregular surface produces a field which can be imaged as a speckled pattern of relatively
10 light and dark areas, and that two such fields from respectively different surfaces can interfere to produce a pattern which is modulated in phase upon movement of one of the surfaces in the direction of the incident light.

It is to be noted that a speckle pattern itself will be
15 subject to variation together with movement of the surface from which it is derived, but this variation has previously been considered too random and/or fine grained to be of direct use. Indeed, early opinions of speckle pattern phenomena regarded the same as undesirable noise effects associated with laser
20 illumination.

In any event, laser speckle interferometry as so far practised has entailed discrete recording in various ways of an imaged interference pattern created by one relative disposition of the two surfaces for comparison therewith of the directly
25 corresponding pattern created by a changed disposition of the two



-2-

surfaces in order to obtain a measure of the movement leading from one disposition to the other. The recording step of this procedure necessarily involves a complexity of equipment and/or processing compared to an intrinsically instantaneous measurement technique.

Also, laser speckle interferometry as so far practised has entailed the provision of separate beams of coherent light, often derived from a common laser source, to respectively illuminate the two surfaces. This involves a complexity of optical equipment and, possibly more important, can render difficult or impracticable the application of the technique to surfaces to which access is difficult.

In contrast to the situation just described the present invention provides laser speckle interferometry techniques, and related techniques, which require no discrete recording of interference patterns and which can be operated with a single coherent light beam. The presently proposed techniques in fact have two aspects respectively associated with the advantages just mentioned and these two aspects are preferably, but not necessarily, deployed together in application of the invention.

According to one of these aspects of the invention there is provided a method of measuring the movement of an oscillating irregular surface, which comprises illuminating that surface with coherent light, arranging a photodetector for direct response to scattering and reflexions of said light from said surface, and employing from the output of said photodetector variations in the component thereof at the frequency of said



-3-

movement to represent such movement.

This aspect of the invention derives from the finding that the photodetector has an amplitude-modulated component which corresponds to the surface movement. This finding arises when
05 the oscillating surface is employed alone or in association with a similarly illuminated stationary surface, the photodetector being located in corresponding fields of both surfaces in the latter case, and also when the photodetector has a near or far field location relative to the surface or surfaces.

10 While a detailed analysis of this phenomenon has yet to be finalised, it is at present considered that the relevant modulated output component results from mixing at the photodetector of the scattered and reflected fields as these are converted to electrical signal form. Certainly, in the case when two surfaces
15 are involved, the presence of an interference effect has been confirmed by employing a piezoelectric crystal as one surface and vibrating the same at known frequency and amplitude, to find that the relevant output component successively increases and decreases in sinusoidal manner with linearly increasing amplitude of
20 vibration.

Also, another factor which is thought to be relevant to the above aspect of the invention in some circumstances is that the aforementioned random and fine-grained nature of speckle patterns involves a presumption that the originating surface is
25 fully random in its irregularity, whereas in fact surfaces involved in many practical measurement situations will have a partially ordered structure by virtue of the way in which they



-4-

are formed. This factor can heighten the optical relationships which give rise to the modulated component of interest.

The provision of apparatus adapted to carry out the above proposed method is also contemplated within this first aspect of the invention.

A second aspect of the invention derives from the consideration that, in the case where two surfaces are involved, detection of the output component of interest can be effected when this component constitutes as little as 0.1% of the total photodetector output signal and that the provision of separate illuminating beams of similar intensities for the two surfaces is not necessary. Indeed, since the photodetector employed according to the invention in its first aspect converts the light patterns incident thereon from an electric field representation to an electric current representation, the contribution to the patterns from one of the surfaces can be as little as the order of 10^{-6} times that from the other surface. Moreover, this consideration can be equally relevant to previously known forms of laser speckle interferometry, and particularly those which employ a discrete pattern transducer such as a television camera tube for the purposes of the recording step.

Given this consideration the present invention, in its second aspect, provides a laser speckle interferometry method or apparatus in which one of the two irregular surfaces is illuminated by stray coherent light from a beam thereof directed predominantly at the other of said surfaces.



-5-

In order that the above discussed aspects and other preferred features of the invention may be more fully understood, the same will now be described by way of example with reference to one embodiment thereof which is schematically illustrated
05 by the accompanying drawing.

The illustrated embodiment in fact represents apparatus employed in initial development of the invention in a study of the dynamics of the amphibian middle ear.

The embodiment comprises a polarised He-Ne laser source 10 of 2mW power output and wavelength, λ , of 632.8nm having its output beam directed at an object 11 which includes a vibratable surface 12 and an adjacent or surrounding, relatively fixed surface 13. In the initial development the surface 12 has been the tympanum of a frog, and the surface 13 the surrounding tissue
15 covering the adjacent bone structure. The laser beam is directly predominantly at the surface 12 but has sufficient divergence for stray light to be incident on an area of the surface 13.

Scatter and reflexion from both surfaces is monitored by a photodiode 14, this light field being applied to the photodiode
20 by way of a fibre optic light guide 15 having its collecting end located in the near field of the surfaces 12 and 13.

A beam splitter 16 is located near the output mirror of the source 10 and directs a proportion, suitably about 10%, of the output beam on to a second photodiode 17.

25 The photodiode outputs are applied, through respective current-to-voltage amplifiers 18 and 19, to a voltage divider 20 which divides the first photodiode output by the second. This



-6-

operation reduces the effective amplitude fluctuations of the laser source by greater than 100-fold.

The divider output is applied to a spectrum analyser 21 or some other means for detecting, among others, the output component 05 at the frequency of vibration of surface 12.

In the use of the illustrated embodiment the resonance characteristic of the frog's middle ear has been determined by application of successively different sound frequencies to vibrate the eardrum and employing the output component at the corresponding 10 frequencies from the spectrum analyser as a measure of the amplitude of vibration.

The present view of the basis for this procedure is that, when the surface 12 undergoes sinusoidal vibrations with amplitude a_0 at angular frequency ω_a , the current at the 15 photodiode 14 is represented as

$$I = \sigma \left[\langle E_S \cdot E_S \rangle + \langle E_R \cdot E_R \rangle + 2 |E_S| \cdot |E_R| \cos \left\{ 4\pi a_0 \left| \lambda \right| \cdot \sin(\omega_a t + \phi) \right\} \right]$$

where σ is the photodiode responsivity, E_S and E_R are the total electric fields at the photodiode respectively due to the surfaces 12 and 13, and ϕ is an arbitrary phase between these fields due to 20 the vibrations. The last term of this equation can be expanded as a series of Bessel functions and the component at the fundamental frequency ω_a can be determined by the analyser such that

$$I(\omega_a) = \sigma \left\{ |E_S| \cdot |E_R| J_1(4\pi a_0 / \lambda) \sin \omega_a t \cdot \sin \phi \right\}$$

where J_1 is a Bessel function of the first kind and first order 25 with argument $4\pi a_0 / \lambda$. The average value of $\sin \phi$ after full wave rectification by the analyser is $2/\pi$, and the function J_1 has a maximum value at argument 1.841 radians and is zero at 3.84

-7-

radians. Thus, the output component of interest will be a maximum for vibration of the surface 12 with a peak-to-peak displacement of 185nm, and a minimum for peak-to-peak displacement of 386nm. This analysis is considered to be correct
05 within 5% provided that the angle between the incident and scattered beams is less than 36° .

As noted earlier the present theoretical consideration of the invention has been confirmed by the use of a piezoelectric crystal as the vibrating surface. In fact this has been done with
10 the crystal and its surrounding structure in place of the surfaces 12 and 13 of the illustrated embodiment, and this has verified the above predictions. Moreover, from a series of measurements using the crystal, it has been concluded that the limit of resolution is about 0.2nm, and that the response is approximately
15 a linear function of displacement up to 1/10 of the wavelength of red light.

While the invention has clearly been developed initially for the purposes of an academic study, it is not limited thereby. Indeed the introductory discussion above makes it equally clear
20 that the invention offers advantage relative to existing laser speckle interferometry and the invention is obviously applicable in at least similar circumstances to those of the prior techniques.

However, it is to be noted that further development of the invention concerns application thereof for clinical audiometric
25 purposes to monitor tympanic membrane movement and assess inner ear condition. The invention is well suited to this application in that it can provide a procedure which, contrary to existing



-8-

procedures, requires little or no cooperation or comprehension on the part of the patient. Moreover, again in contrast to existing procedures such as tympanic acoustic impedance measurement, application of the present invention does not

05 require sealing of the external ear canal or any other such operation which applies an abnormal constraint to the middle ear; in other words the invention can be employed to measure wholly unconstrained tympanum displacement in response to applied sound.

Naturally in the application of the invention under

10 discussion, some means will be provided for applying sound into the ear. This can take any suitable form, but in one preferred form involves a sound source operable at successively changing frequency by a swept oscillator or equivalent device. In this event the output detector should be of locked variable frequency

15 form, such as a spectrum analyser/tracking generator combination or a sweep generator/dynamic lock-in detector system. In an alternative arrangement the sound stimulation for the ear can be applied as a short impulse with the detector effecting Fourier analysis. This alternative may be advantageous in involving a

20 shorter exposure of the ear to the laser source, and also in providing output signals indicating the damping properties of the middle ear in addition to resonant properties.

The necessary apparatus interfacing with the patient may conveniently comprise an earphone-like structure housing a

25 miniature sound source of the kind such as used in hearing aids, or a spark gap or other form of sonic impulse generator. The fibre optic guide can extend through this structure between the



external ear canal and photodiode, and a further such guide can be employed to pass the laser beam into the canal, or the structure can be apertured for this purpose.

The outgoing light guide can comprise a single fibre or a multiple fibre system. In the latter case the fibres or sub-sets thereof can be directed to respectively different photodiodes to enhance the detected signal or to allow analysis to be effected in respect of additional output signal components such as those at harmonics of the frequencies of interest.

10 Similarly, any in-going light guide can comprise a single or multiple fibre system, and in the latter case the proximal ends of the fibres can be employed to direct light on to respectively different areas of the vibrated and stationary surfaces. This may improve the output signal by the effective application of
15 separate beams on to the two surfaces, and/or it may allow differential assessment of the condition of the tympanum by effective application of a plurality of beams on to respectively different areas thereof.



-10-

CLAIMS

1. A method of measuring the movement of an oscillating irregular surface, which comprises illuminating that surface with coherent light, and detecting variations caused by said movement in a speckle pattern produced by scattering and
05 reflexions of said light from said surface, characterised by arranging a photodetector for direct response to said pattern, and detecting from the output of said photodetector variations thereof at the frequency of said movement to represent such movement.
- 10 2. A method according to Claim 1 which comprises illuminating another, stationary, irregular surface with coherent light, and detecting variations in a speckle interference pattern produced by scattering and reflexions from both said surfaces, characterised by arranging said photodetector for direct response to said
15 interference pattern.
3. A method according to Claim 2 characterised in that said surfaces are closely adjacent, and in that said other surface is illuminated by stray coherent light from a beam thereof directed predominantly at the first-mentioned surface.
- 20 4. A method according to Claim 1, 2 or 3 characterised in that the first-mentioned surface is a tympanum oscillated by a predetermined sound wave applied thereto.
5. A method according to Claim 4 characterised in that said sound wave is of swept frequency form and said variations are
25 detected in a locked-frequency manner.



-11-

6. A method according to Claim 4 characterised in that said sound wave is of impulse form and said variations are detected by Fourier analysis.
7. A method of measuring the movement of an oscillating surface, which comprises illuminating that surface and another, stationary, irregular surface with coherent light, and detecting variations in a speckle interference pattern produced by scattering and reflexions from both said surfaces, characterised in that said other surface is illuminated by stray coherent light from a beam thereof directed predominantly at the first-mentioned surface.
8. Apparatus for measuring the movement of an oscillating irregular surface, comprising a coherent light source for illuminating that source, and means for detecting variations caused by said movement in a speckle pattern produced by scattering and reflexions of said light from said surface, characterised in that said detecting means includes a photodetector arranged for direct response to said pattern, and a detector responsive to amplitude variations in the output of said photodetector at the frequency of said movement.
9. Apparatus according to Claim 8, characterised by another photodetector for direct response to light from said source, and a signal divider responsive to said photodetectors to supply the input for said detector.
10. Apparatus according to Claim 8 or 9 characterised by a sound generator for applying a predetermined sound wave to oscillate said surface.

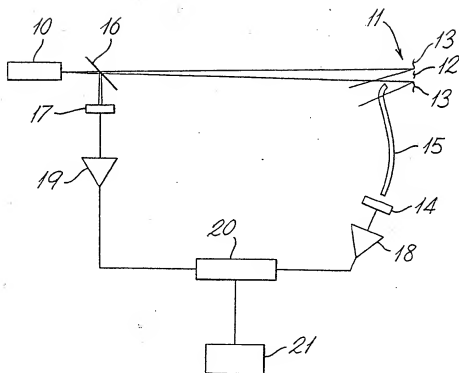


-12-

11. Apparatus according to Claim 10 characterised in that said sound generator is of swept frequency form, and in that said detector is operably frequency-locked with said generator.
12. Apparatus according to Claim 10 characterised in that said sound generator is of impulse form, and in that said detector effects Fourier analysis.
13. Apparatus according to Claim 10, 11 or 12 characterised by an earphone-form device housing at least part of said sound generator to apply the output thereof to a tympanum.
- 10 14. Apparatus according to Claim 13 characterised in that said device has at least one fibre optic guide passing therethrough to convey said illuminating light to said surface and/or said pattern to the first-mentioned photodetector.



1/1



I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) *

According to International Patent Classification (IPC) or to both National Classification and IPC

G 01 H 9/00; A 61 B 1/22

II. FIELDS SEARCHED

Minimum Documentation Searched *

Classification System

Classification Symbols

Int.Cl.²

G 01 H 9/00; A 61 B 1/22; G 01 B 9/021; G 02 B 27/38

Documentation Searched other than Minimum Documentation
to the extent that such Documents are included in the Fields Searched *III. DOCUMENTS CONSIDERED TO BE RELEVANT ¹⁴

Category *	Citation of Document, ¹⁵ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No. ¹³
	OPTICS COMMUNICATIONS, volume 24, no. 1, issued January 1978, (Amsterdam) NL K.J. Ebeling, "Measurement of in-plane mechanical vibrations in the subangstrom range by use of speckle imaging", see pages 125-128, especially page 127, column 1, line 31 to page 128, column 1, line 5, figure 2	1, 5, 8, 10, 11
	MESSTECHNIK, volume 80, no. 4, issued April 1972, (München) DE, U.Kopf: "Der Einsatz von Fernsehanlagen bei der kohärent-optischen Messung mechanischer Schwingungen im Umbereich" see pages 105 - 108, page 106, column 1, line 1 to page 107, column 1, line 18 and abstract; figure 2	1, 2, 3, 7, 8, 10
	--	
	./.	

* Special categories of cited documents: ¹⁸

"A" document defining the general state of the art

"E" earlier document but published on or after the international filing date

"L" document cited for special reason other than those referred to in the other categories

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but on or after the priority date claimed

"T" later document published on or after the international filing date or priority date and not in conflict with the application, but cited to understand the principle or theory underlying the invention

"X" document of particular relevance

IV. CERTIFICATION

Date of the Actual Completion of the International Search ³

1st June 1979

Date of Mailing of this International Search Report ⁴

11th June 1979

International Searching Authority ⁵

European Patent Office

Signature of Authorized Officer ¹⁶

G.L.M. KRUYDENBERG

FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

-2-

DE, A, 2155853, published 1973, May 24,
see "Ansprüche" 1, 2, 4, 10; figure 1,
L.U.E. Kohlöffel

1, 4, 10, 13,
14

Applied Optics, volume 16, no. 12, issued
December 1977, (New-York) US, F.P.
Chiang and C.H. Lee: "Dynamic laser
speckle interferometry applied to
transient flexure problem", see pages
3085-3086, page 3085, column 1,
lines 1-14, 31-47 and figures 1, 2

1, 6, 8, 10,
12

V. ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE ¹⁰

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☐ Claim numbers because they relate to subject matter ¹¹ not required to be searched by this Authority, namely:

2. ☐ Claim numbers because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out ¹¹, specifically:

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING ¹¹

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.

2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:

3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:

Remark on Protest

☐ The additional search fees were accompanied by applicant's protest.

☐ No protest accompanied the payment of additional search fees.